

Attorney Docket No.: COOL-01901

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of:    | . )             |
|--------------------------|-----------------|
| Daniel J. Lenehan et al. | T.M. Market (S) |
| Serial No.: 10/731,674   |                 |

Filed: December 8, 2003

For: PUMP AND FAN CONTROL CONCEPTS IN A COOLING

**SYSTEM** 

Group Art Unit: 3744

Examiner. Ciric, Ljiljana (LIL) V.

TRANSMITTAL LETTER

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Customer No. 28960

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

A Supplemental Information Disclosure Statement, Certification for Supplemental Information Disclosure Statement, and Form PTO-1449 are enclosed for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. **An originally executed duplicate of this transmittal is enclosed for this purpose.** 

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 9-7-07

Thomas B. Haverstock

Reg No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 9-7-07 Rv. M



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| Daniel J. Lenehan et al.                               | Examiner: Ciric, Ljiljana (LIL) V.                            |
| Serial No.: 10/731,674                                 | CERTIFICATION FOR SUPPLEMENTAL INFORMATION                    |
| Filed: December 8, 2003                                | DISCLOSURE STATEMENT UNDER 37<br>CFR § 1.97(e)                |
| For: PUMP AND FAN CONTROL CONCEPTS IN A COOLING SYSTEM | 162 North Wolfe Road<br>Sunnyvale, CA 94086<br>(408) 530-9700 |
|  | )<br>Customer No.: 28960                                      |

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

#### Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on June 7, 2007. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))
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Commissioner for Patents, P.O. Box 1450 Alexandria, VA

HAVERSTOCK & OWENS LL

7-07 By: May 6

 $\frac{PATENT}{Attorney\ Docket\ No.:\ \underline{COOL\text{-}01901}}$ 

# **Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted, HAVERSTOCK & OWENS LLP

Reg. No.:32,571

Attorneys for Applicants



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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| GIGIEM   | Customer No.: 28960                                 |

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed below may be material to the examination of the above-identified application and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No.: 3,524,497;
- U.S. Patent No.: 5,365,400;
- U.S. Patent No.: 6,578,626 B1;
- U.S. Patent No.: 6,626,236 B1;
- U.S. Patent No.: 6,729,383 B1;
- U.S. Patent No.: 6,865,081 B2; and
- U.S. Patent No.: 6,934,154 B2.

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS

Attorney Docket No.: <u>COOL-01901</u>

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWEN\$ LLP

Dated: 9-7-07

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

TO-1449 Patent and Traucinaix of Patent and Tr FORM PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: COOL-01901

Serial No.: 10/731,674

Applicants: Daniel J. Lenehan et al.

| 7 CFR § 1.98(b))     |                                   |   | Filing Date: December 8, 2003      |                  | Group Art Unit: 3744 |                 |           |              |              |
|----------------------|-----------------------------------|---|------------------------------------|------------------|----------------------|-----------------|-----------|--------------|--------------|
|                      |                                   |   |                                    | U.S. PATENT DOC  | UMENTS               |                 | 1 ""      |              |              |
| Examiner<br>Initials | :                                 | Patent Number/<br>Publication<br>Number | Issue Date/<br>Publication Date    | Applica          | ant / Patentee       | Class           | Subclass  | Filing       | Date         |
|                      | AA                                | 3,524,497                               | 08/18/1970                         | R.C.             | Chu et al.           | 165             | 80        | 04/04/       | 1968         |
|                      | AB                                | 5,365,400                               | 11/15/1994                         | Ashi             | wake et al.          | 361             | 752       | 10/04/       | /1991        |
|                      | AC                                | 6,578,626 B1                            | 06/17/2003                         | Cala             | man et al.           | 165             | 80.4      | 11/21/       | /2000        |
|                      | AD                                | 6,626,236 B1                            | 09/30/2003                         | Ban              | ndoh et al.          | 165             | 206       | 03/20/       | /2000        |
|                      | AE                                | 6,729,383 B1                            | 05/04/2004                         | Can              | nell et al.          | 165             | 80.3      | 12/16/       | /1999        |
|                      | AF                                | 6,865,081 B2                            | 03/08/2005                         | Me               | eyer et al.          | 361             | 699       | 03/21/       | /2003        |
|                      | AG                                | 6,934,154 B2                            | 08/23/2005                         | Pra              | sher et al.          | 361             | 699       | 03/31/       | /2003        |
|                      | AH                                |   |                                    |                  |                      |                 |           |              |              |
|                      | AI                                |   |                                    |                  |                      |                 |           |              |              |
|                      | AJ                                |   |                                    |                  |                      |                 |           |              |              |
|                      | AK                                |   |                                    |                  |                      |                 |           |              |              |
|                      | AL                                |   |                                    |                  |                      |                 |           |              |              |
|                      | AM                                |   |                                    | •                |                      |                 |           |              |              |
|                      | AN                                |   |                                    |                  |                      |                 |           |              |              |
| -                    | AO                                |   |                                    |                  |                      |                 |           |              |              |
|                      |                                   |   |                                    |                  |                      |                 |           |              |              |
|                      | <u> </u>                          | I                                       | FOREIGN PATENTS                    | OR PUBLISHED FOI | REIGN PATENT APPL    | ICATIONS        | <u> </u>  |              |              |
|                      |                                   |   |                                    |                  |                      |                 | Corbolina | Trans        | lation       |
|                      |                                   | Document<br>Number                      | FOREIGN PATENTS ( Publication Date |                  | REIGN PATENT APPL    | ICATIONS  Class | Subclass  | Trans<br>Yes | lation<br>No |
|                      | AP                                |   |                                    |                  |                      |                 | Subclass  |              | [            |
|                      | AP<br>AQ                          |   |                                    |                  |                      |                 | Subclass  |              | [            |
|                      | <del> </del>                      |   |                                    |                  |                      |                 | Subclass  |              | [            |
|                      | AQ                                |   |                                    |                  |                      |                 | Subclass  |              | ľ            |
|                      | AQ<br>AR                          |   |                                    |                  |                      |                 | Subclass  |              | [            |
|                      | AQ<br>AR<br>AS                    | Document<br>Number                      | Publication Date                   | Country          |                      | Class           | Subclass  |              | [            |
|                      | AQ<br>AR<br>AS                    | Document<br>Number                      | Publication Date                   | Country          | / Patent Office      | Class           | Subclass  |              | [            |
|                      | AQ<br>AR<br>AS<br>AT              | Document<br>Number                      | Publication Date                   | Country          | / Patent Office      | Class           | Subclass  |              | ľ            |
|                      | AQ AR AS AT                       | Document<br>Number                      | Publication Date                   | Country          | / Patent Office      | Class           | Subclass  |              | ľ            |
|                      | AQ AR AS AT AU AV                 | Document<br>Number                      | Publication Date                   | Country          | / Patent Office      | Class           | Subclass  |              | ľ            |
|                      | AQ AR AS AT AU AV AW              | Document<br>Number                      | Publication Date                   | Country          | / Patent Office      | Class           | Subclass  |              | [            |
|                      | AQ AR AS AT  AU AV AW AX          | Document<br>Number                      | Publication Date                   | Country          | / Patent Office      | Class           | Subclass  |              | ľ            |
|                      | AQ AR AS AT  AU AV AW AX AY       | Document<br>Number                      | Publication Date                   | Country          | / Patent Office      | Class           | Subclass  |              | ľ            |
|                      | AQ AR AS AT  AU AV AW AX AY AZ    | Document<br>Number                      | Publication Date                   | Country          | / Patent Office      | Class           | Subclass  |              | ľ            |
|                      | AQ AR AS AT  AU AV AW AX AY AZ BA | Document<br>Number                      | Publication Date                   | Country          | / Patent Office      | Class           | Subclass  |              | ľ            |